



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hohn et al. Art Unit : 2826
Serial No. : 10/616,783 Examiner : Minh Loan Tran
Filed : July 10, 2003
Title : WAVELENGTH-CONVERTING CASTING COMPOSITION AND LIGHT-EMITTING SEMICONDUCTOR COMPONENT

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the documents listed on the attached PTO-1449 form.

The documents include a "Notice of Intervention" (reference CK in attached PTO-1449) filed in the European Patent Office on behalf of Citizen Electronics Co., dated December 21, 2005, and alleging bases for revoking a European patent application related to the present application. Most of the remaining documents listed on the attached PTO-1449 form correspond to the supporting documents cited in the Notice of Intervention.

The documents also include an English translation of a "Nullity Request" (reference CJ in attached PTO-1449) filed in the German Patent and Trademark Office, dated December 3, 2001, and alleging bases for canceling a German utility model related to the present application.

Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. The references listed in bold are believed to have already been cited, however, we enclose and list them out of abundance of caution. Many of the supporting documents are believed to already be of record; however, we are enclosing copies of them and including them in the attached PTO-1449 form (listed in bold) so that the documents are easily available to the Examiner.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

3-1-06

Date of Deposit

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Cheryl A. Forrest

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Attorney's Docket No.: 12406-006003 / 1997P2507 US
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This statement is being filed after the filing of a Request for Continued Examination and before the receipt of an Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050, referencing 12406-006003.

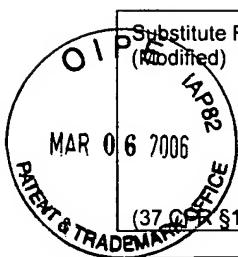
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Respectfully submitted,



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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12406-006003	Application No. 10/616,783
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant	Hohn et al.	
		Filing Date July 10, 2003	Group Art Unit 2826	

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,998,925	12/07/1999	Shimizu et al.			
	AB						
	AC						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AD	0 862 794	09/09/1998	EPO	H01L	33/00		
	AE	196 38 667	04/02/1998	Germany	H01L	33/00		
	AF	26 42 465	03/23/1978	Germany	C08L	63/00		
	AG	29724458	05/31/2001	Germany	H01L	33/00	See U.S. 5,998,925	
	AH	3804293	08/24/1989	Germany	H01L	33/00		
	AI	4432035	03/14/1996	Germany	C09K	11/02		
	AJ	90 13 615	01/24/1991	Germany	H01L	33/00		
	AK	2138361	05/28/1990	Japan	C08K	3/00	Abstract Only	
	AL	7-176794	07/14/1995	Japan	H01L	33/00	Yes	
	AM	7-99345	04/11/1995	Japan	H01L	33/00		
	AN	9-73807	03/18/1997	Japan	F21V	88/00		
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	P. Bowen, "Particle Size Distribution Measurement from Millimeters to Nanometers and from Rods to Platelets", <i>Journal of Dispersion Science and Technology</i> , Vol. 23, No. 5, pp. 631-662 (2002)
	AR	P. Bowen et al., "Particle Size Distribution Measurement of Anisotropic Particles – Cylinders and Platelets – Practical Examples", <i>Recents Prog. Genie Procedes Recents Progres en Genie des Pr RGPPEX 15 77, Science et (2001)</i>
	AS	Bernd Hansen, "Probleme der Ausführbarkeit bei Chemie-Erfindungen", <i>GRUR 2000</i> , No. 6, pp. 469-476

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	BA	Ajit Jillavenkatesa et al., <u>Practice Guide, Particle Size Characterization</u> , Special Publication 960-1, pp. 125-137 (January 2001)
	BB	Shuji Nakamura et al., "Candela-class high-brightness InGaN/AIGaN double-heterostructure blue-light-emitting diodes", <u>Applied Physics Letters</u> , Vol. 64, No. 13, pp. 1687-1689 (March 28, 1994)
	BC	Curriculum vitae of Dr. Kevin W. Powers
	BD	Declaration of Dr. Kevin W. Powers in Support of Citizen Electronics Company Ltd.'s Opposition to European Patent 0 862 794 including the references cited therein
	BE	Dr. Alan Rawle. "Basic Principles of Particle Size Analysis", Technical Paper by Alan Rawle, Malvern Instruments, Great Britain (1993)
	BF	Yuichi Sato et al., "Full-Color Fluorescent Display Devices Using a Near-UV Light-Emitting Diode", <u>Applied Physics</u> , Vol. 35, No. 7A, pp. L838-L839 (July 1, 1996)
	BG	P. Schlotter et al., "Luminescence conversion of blue light emitting diodes", <u>Applied Physics A</u> , Materials Science & Processing, Rapid Communication, Volume A, pp. 417-418 (April 1997)
	BH	M.F. Yan et al., "Preparation of $Y_3Al_5O_{12}$ -Based Phosphor Powders", <u>Journal of the Electrochemical Society, SOLID-STATE SCIENCE AND TECHNOLOGY</u> , Vol. 134, No. 2, pp. 493-498 (1987)
	BI	ASTM Designation E 1617-97, "Standard Practice for Reporting Particle Size Characterization Data",
	BJ	Cabot, "Creating What Matters", "Overview", "Cabot PEDs Technology", and "Glossary Terms"
	BK	Kirk-Othmer Encyclopedia of Chemical Technology, Fourth Edition, Chapter "Pigments (Inorganic)", Vol. 19, pp 1-40, John Wiley & Sons, Inc. New York (1996)
	BL	Material Safety Data Sheet, pp. 1-2 with lamp phosphor data sheet of phosphor NP-204 of Nichia Corporation (February 6, 1995)
	BM	Nikkei Sangyo Shimbun (September 13, 1996) (Japanese Version)
	BN	Osram GmbH "Phosphor and Emitter, Chemical Composition, Optical Properties, Physical Properties, and Application"
	BO	Perry's Chemical Engineers' Handbook, Seventh Edition, "Particle Size Analysis", pp. 20-5 – 20-6 (1997)
	BP	Phosphor Handbook, Ohmsha, Japanese Version, pp. 167-175; 188-189; 268-277; 383-385 (1987)
	BQ	Phosphor Handbook, Ohmsha, Japanese Version, English Translation of Cover, inside page, pp. 172-173; 188-189; 268-270; 275-277; and 383-386 (1987)
	BR	Phosphor Handbook (English version), pp. 351-353; 495-508; 733-738; 317-330
	BS	Phosphor Handbook (English version), inside page, pp. 732-759
	BT	Phosphor Technology, "Particle Size Analysis"
	BU	R L Smith/Environmental Health Perspectives, "Review of Glycol Ether and Glycol Ether Ester Solvents Used in the Coating Industry", v. 57, pp. 1-4 (1984)

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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Hohn et al.	
		Filing Date July 10, 2003	Group Art Unit 2826

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	CA	"4. Nacharbeitbarkeit ohne zumutbaren Aufwand (4. <i>Reproducibility without undue burden</i>)"; Rechtsprechung der Beschwerdekkammern des Europäischen Patentamts, fourth edition, pp. 170-171 (2001)
	CB	Proceedings of the 264th Meeting of the Phosphor Society, "Development and Application of Highbright White LED Lamps", pp. 5-14 (November 29, 1996) (Japanese Version)
	CC	Proceedings of the 264th Meeting of the Phosphor Society, "Development and Application of Highbright White LED Lamps", pp. 5-14 (November 29, 1996) (English Version)
	CD	Mitsubishi Electronics Technical Journal (Mitsubishi Denki Giho), Vol. 48, No. 9, pp. 1121-1123 (September 1974) (Japanese Version)
	CE	Mitsubishi Electronics Technical Journal (Mitsubishi Denki Giho), Vol. 48, No. 9, pp. 1121-1123 (September 1974) (English Version)
	CF	Nikkei Electronics, No. 671, (September 23, 1996) (Japanese Version)
	CG	Nikkei Electronics, No. 671, (September 23, 1996) (English Version)
	CH	English Translation of Japanese Patent No. 4-63162 dated May 29, 1992
	CI	English Translation of Japanese Patent No. 5-38926 dated May 25, 1993
	CJ	Nullity Request by Josef Schmidt to the German Patent Office In the name of and on behalf of Nichia Corporation of Japan dated December 3, 2001
	CK	Notice of Intervention from the European Patent Office dated January 19, 2006 with regard to European Application No. 97909167.5 – 2203 / 0862794
	CL	
	CM	

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